

# INTRODUCTION TO ALGEBRAIC GEOMETRY

WEI-PING LI

ABSTRACT. The materials are based upon essentially Griffiths-Harris and Beauville's book "Complex Algebraic Surfaces".

## 1. COMPLEX MANIFOLDS

Let  $W$  be an open subset of  $\mathbb{C}^n$ ,  $z_1, \dots, z_n$  be the coordinates for  $\mathbb{C}^n$ . We write

$$z_i = x_i + \sqrt{-1}y_i, \quad \frac{\partial}{\partial z_i} = \frac{1}{2}\left(\frac{\partial}{\partial x_i} - \sqrt{-1}\frac{\partial}{\partial y_i}\right), \quad \frac{\partial}{\partial \bar{z}_i} = \frac{1}{2}\left(\frac{\partial}{\partial x_i} + \sqrt{-1}\frac{\partial}{\partial y_i}\right).$$

Let  $f(z_1, \dots, z_n)$  be a continuous function on  $W$ . A well-known fact says that if  $\frac{\partial f}{\partial \bar{z}_i} = 0$  for all  $i = 1, \dots, n$ , then for any  $a = (a_1, \dots, a_n) \in W$ , there exists  $\epsilon_i > 0$  for  $i = 1, \dots, n$  such that  $f = \sum_{k_i \geq 0} c_{k_1, \dots, k_n} (z_1 - a_1)^{k_1} \dots (z_n - a_n)^{k_n}$  is a converging series for  $|z_i - a_i| < \epsilon_i$ . Such a function is called a holomorphic function.

Write  $f(z_1, \dots, z_n) = u(z_1, \dots, z_n) + \sqrt{-1}v(z_1, \dots, z_n)$  where  $u$  and  $v$  are real-valued functions on  $W$ . If  $\frac{\partial f}{\partial \bar{z}_i} = 0$ , then we get the Cauchy-Riemann equations for  $u$  and  $v$ :

$$\frac{\partial u}{\partial x_i} = \frac{\partial v}{\partial y_i}, \quad \frac{\partial v}{\partial x_i} = -\frac{\partial u}{\partial y_i}.$$
$$\frac{\partial^2 u}{\partial x_i^2} + \frac{\partial^2 u}{\partial y_i^2} = 0, \quad \text{and} \quad \sum_{i=1}^n \frac{\partial^2 u}{\partial x_i^2} + \frac{\partial^2 u}{\partial y_i^2} = 0.$$

Hence  $u$  is a harmonic function on  $W$  and so is  $v$ .

**Definition 1.1.** Let  $X$  be a topological space with countable bases and Hausdorff.  $X$  is said to be a complex manifold if there exists a covering  $\{U_i\}$  of  $X$  together with maps  $\varphi_i: U_i \rightarrow \mathbb{C}^n$  such that  $\varphi_i$  is a homeomorphism from  $U_i$  to the open subset  $\varphi_i(U_i)$  of  $\mathbb{C}^n$  and  $\varphi_i \circ \varphi_j^{-1}: \varphi_j(U_i \cap U_j) \subset \mathbb{C}^n \rightarrow \varphi_i(U_i \cap U_j) \subset \mathbb{C}^n$  is biholomorphic. If  $\{z_1, \dots, z_n\}$  is a coordinate system for  $\mathbb{C}^n$ , then it is also called a local coordinate system for  $U_i$  (or  $X$ ).  $z_k(\varphi_i)$  is a function on  $U_i$ , by abuse of notation, denoted also by  $z_k$ .

**Example 1.2.** Consider the Riemann sphere  $S^2 = \mathbb{P}^1$ .

One way to define  $\mathbb{P}^1$  is to regard it as the space of lines in  $\mathbb{C}^2$  passing through the origin. Here is another way to define  $\mathbb{P}^1$ :  $\mathbb{P}^1 = \{\mathbb{C}^2 - \{(0, 0)\}\}/\mathbb{C}^*$  where  $\mathbb{C}^*$  acts on  $\mathbb{C}^2$  by  $k(x, y) = (kx, ky)$  for  $k \in \mathbb{C}^*$  and  $(x, y) \in \mathbb{C}^2$ . Hence we can write

$$\mathbb{P}^1 = \{[z_0, z_1] \mid [z_0, z_1] = [kz_0, kz_1] \text{ for } k \in \mathbb{C}^*, (z_0, z_1) \neq (0, 0)\}$$

where  $[z_0, z_1]$  is called the homogeneous coordinates.

Let  $\pi: \mathbb{C}^2 - \{(0, 0)\} \rightarrow \mathbb{P}^1$  be the quotient map. The topology on  $\mathbb{P}^1$  is the induced quotient topology. Take two open subsets  $U_0$  and  $U_1$  of  $\mathbb{P}^1$ :

$$U_0 = \{[z_0, z_1] \in \mathbb{P}^1 \mid z_0 \neq 0\}, \quad U_1 = \{[z_0, z_1] \in \mathbb{P}^1 \mid z_1 \neq 0\}.$$

we have homeomorphisms:

$$\varphi_0: U_0 \rightarrow \mathbb{C}, \quad [z_0, z_1] \rightarrow u = \frac{z_1}{z_0}; \quad \varphi_1: U_1 \rightarrow \mathbb{C}, \quad [z_0, z_1] \rightarrow w = \frac{z_0}{z_1}.$$

Hence over the overlap  $\varphi_0(U_0 \cap U_1) = \mathbb{C}^*$ , we have for  $u \in \mathbb{C}^*$ ,

$$w = \varphi_1 \circ \varphi_0^{-1}(u) = \frac{1}{u}, \quad u \rightarrow [1, u] = [1/u, 1] \rightarrow 1/u.$$

Clearly  $\varphi_1 \circ \varphi_0^{-1}(u) = 1/u$  is holomorphic on  $\mathbb{C}^*$ . Therefore  $\mathbb{P}^1$  is a complex manifold. Take  $S^3 = \{(z_0, z_1) \in \mathbb{C}^2 \mid |z_0|^2 + |z_1|^2 = 1\}$ .  $S^1 = \{e^{i\theta}\}$  acts on  $S^3$  by the natural action. One can see that  $\mathbb{P}^1 = S^3/S^1$ . Since  $S^3$  is compact,  $\mathbb{P}^1$  is compact.

The following topological spaces are complex manifolds as well:  $\mathbb{C}^n$ , open subsets of  $\mathbb{C}^n$ , the projective space  $\mathbb{P}^n = \{\mathbb{C}^{n+1} - (0, \dots, 0)\}/\mathbb{C}^*$  where  $k \cdot (z_0, z_1, \dots, z_n) = (kz_0, kz_1, \dots, kz_n)$  for  $k \in \mathbb{C}^*$ ,  $X \times Y$  if both  $X$  and  $Y$  are complex manifolds,  $\mathbb{C}^n/\Gamma$  where  $\Gamma$  is a full rank lattice in  $\mathbb{C}^n$ , a subset of  $\mathbb{C}^n$  defined by  $Y = \{(z_1, \dots, z_n) \in \mathbb{C}^n \mid f(z_1, \dots, z_n) = 0\}$  where  $f$  is a holomorphic function on  $\mathbb{C}^n$  and rank of  $(\frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n}) = 1$ . The last example can be understood using the complex version of the implicit function theorem.

**Definition 1.3.** Given a continuous function  $f$  on an open subset  $W$  of  $X$ .  $f$  is said to be holomorphic if for any point  $p$  on  $X$ , there exists an open neighbourhood  $U \subset W$  of  $p$  and a local coordinates  $\varphi: U \rightarrow \mathbb{C}^n$  such that  $f \circ \varphi^{-1}$  is holomorphic. Let  $(z_1, \dots, z_n) \in \mathbb{C}^n$  be a local coordinate on  $U$ , we define  $\frac{\partial f}{\partial z_i} = \frac{\partial f \circ \varphi^{-1}}{\partial z_i}$ .

One can check that this definition is independent of the local coordinates we choose.

**Proposition 1.4.** *A compact connected complex manifold  $X$  has no global holomorphic functions other than constant functions.*

*Proof.* Let  $f$  be a holomorphic function on  $X$ . Then the real part  $u$  of  $f$  is a harmonic function on  $X$ . By the maximum principle for harmonic functions, since  $X$  is compact,  $u$  is a constant. The same is true for the imaginary part of  $f$ . Hence  $f$  is a constant.  $\square$

As a corollary, any compact complex manifold of dimension bigger than zero can never be embedded holomorphically in  $\mathbb{C}^N$ . For if not, we can take a holomorphic function  $f$  on  $\mathbb{C}^N$  not constant on  $X$ . The restriction of  $f$  to  $X$  would be a non-constant holomorphic function on  $X$ , a contradiction. We know that any smooth compact manifold  $X$  can be smoothly embedded in some  $\mathbb{R}^N$  and there are lots of global smooth non-constant functions on  $X$ .

Let's come back to the projective space  $\mathbb{P}^n$ .  $\mathbb{P}^n$  can be regarded as a compactification of  $\mathbb{C}^n$  as follows.  $\mathbb{P}^n$  has some open subsets

$$U_i = \{[z_0, z_1, \dots, z_n] \in \mathbb{P}^n \mid z_i \neq 0\}$$

for  $i = 0, \dots, n$ . All these open subsets are biholomorphic to  $\mathbb{C}^n$ , for example,

$$\varphi: U_0 \rightarrow \mathbb{C}^n, \quad \varphi([z_0, \dots, z_n]) = \left(\frac{z_1}{z_0}, \dots, \frac{z_n}{z_0}\right) \in \mathbb{C}^n.$$

The complement of  $U_0$  is

$$\mathbb{P}^n - U_0 = \{[0, z_1, \dots, z_n] \in \mathbb{P}^n\} = \mathbb{P}^{n-1}.$$

Therefore, if we identify  $\mathbb{C}^n$  with  $U_0$  by  $(x_1, \dots, x_n) \in \mathbb{C}^n \rightarrow [1, x_1, \dots, x_n]$ , then the set of infinities, i.e.,  $\mathbb{P}^n - U_0$ , is the space of lines on  $\mathbb{C}^n$  passing through the origin.

**Example 1.5.** Consider the curve  $Y \subset \mathbb{C}^2$ ,  $Y = \{(x, y) \in \mathbb{C}^2 \mid xy = 1\}$ . One can show that it is a (non-compact) complex manifold of dimension one. Take a compactification of  $\mathbb{C}^2$  as  $\mathbb{C}^2 \rightarrow U_0 \subset \mathbb{P}^2$ ,  $(x, y) \rightarrow [1, x, y]$ . For the homogeneous coordinates  $[z_0, z_1, z_2]$  of  $\mathbb{P}^2$ , we choose a homogeneous polynomial  $F(z_0, z_1, z_2) = z_1 z_2 - z_0^2$ . Let  $\bar{Y} = \{[z_0, z_1, z_2] \in \mathbb{P}^2 \mid F(z_0, z_1, z_2) = 0\}$ . Clearly  $\bar{Y}$  is a closed subset of  $\mathbb{P}^2$  even though  $F$  is not a well defined function on  $\mathbb{P}^2$ .  $\bar{Y} \cap U_0 = \{[z_0, z_1, z_2] \in \mathbb{P}^2 \mid z_0 \neq 0, z_1 z_2 - z_0^2 = 0\}$  is isomorphic to  $Y$  by taking  $x = z_1/z_0$ ,  $y = z_2/z_0$ .

The intersection  $\bar{Y}$  with the set of infinities is

$$\bar{Y} \cap (\mathbb{P}^2 - U_0) = \{[z_0, z_1, z_2] \in \mathbb{P}^2 \mid z_0 = 0, z_1 z_2 = z_0^2\} = \{[0, 0, 1]\} \cup \{[0, 1, 0]\},$$

the two points which represent two "asymptotic" directions of  $Y$  in  $\mathbb{C}^2$ , i.e.,  $x$ -direction and  $y$ -direction.

In general, a homogeneous polynomial  $F$  on  $\mathbb{P}^n$  is not a well defined function on  $\mathbb{P}^n$ , but the closed subset

$$\bar{Y} = \{[z_0, z_1, \dots, z_n] \in \mathbb{P}^n \mid F(z_0, z_1, \dots, z_n) = 0\}$$

is well defined and is a codimension one hypersurface. The function  $f(z_1, \dots, z_n) = F(1, z_1, \dots, z_n)$  is a polynomial on  $\mathbb{C}^n = U_0$  and the zero locus of  $f$ ,  $Y = \{(z_1, \dots, z_n) \mid f(z_1, \dots, z_n) = 0\}$ , is  $\bar{Y} \cap U_0$ . Conversely, for a polynomial  $f(z_1, \dots, z_n)$  of degree  $d$  on  $\mathbb{C}^n$ , e.g.,  $f(z_1, z_2) = z_1 z_2 - 1$ , we can homogenize it to get a homogeneous polynomial  $F(z_0, z_1, \dots, z_n)$  of degree  $d$  and  $Y = \bar{Y} \cap U_0$ , e.g.,  $F = z_1 z_2 - z_0^2$ .  $\bar{Y}$  is a compactification of  $Y$ .

**Definition 1.6.** A projective variety  $X$  is a closed subset of  $\mathbb{P}^n$  defined as

$$X = \{[z_0, z_1, \dots, z_n] \in \mathbb{P}^n \mid F_1(z_0, \dots, z_n) = 0, \dots, F_k(z_0, \dots, z_n) = 0\}$$

where  $F_1, \dots, F_k$  are homogeneous polynomials on  $\mathbb{P}^n$ .

An open subset  $U$  of  $X$  is called an algebraic quasi-variety.  $X$  is said to be nonsingular or smooth if it is a complex manifold.

**Example 1.7.** Let's look at two examples of singular curves.

Let  $X = \{[z_0, z_1, z_2] \in \mathbb{P}^2 \mid F(z_0, z_1, z_2) = z_1^3 - z_2^2 z_0 = 0\}$ . Consider  $X \cap U_0 = \{(x, y) \in \mathbb{C}^2 \mid x^3 = y^2\}$ . Let  $f(x, y) = F(1, x, y)$ . Then  $\frac{\partial f}{\partial x} = 3x^2$  and  $\frac{\partial f}{\partial y} = -2y$ . Clearly  $X$  is singular at the point  $[1, 0, 0]$ . This singular point is called a cusp.

Let  $Y = \{[z_0, z_1, z_2] \in \mathbb{P}^2 \mid F(z_0, z_1, z_2) = z_2^2 z_0 - z_1^2(z_1 + z_0) = 0\}$ . Consider  $Y \cap U_0$ . Let  $f(x, y) = F(1, x, y) = y^2 - x^2(x + 1)$ .  $\frac{\partial f}{\partial x} = -3x^2 - 2x$  and  $\frac{\partial f}{\partial y} = 2y$ . So  $[1, 0, 0]$  is a singular point of  $Y$ . This point is called an ordinary double point.

In the end, we list some results we won't prove.

A theorem of Chow says that any compact complex submanifold of  $\mathbb{P}^n$  is algebraic, i.e., it is the zero locus of some finitely many homogeneous polynomials on  $\mathbb{P}^n$ .

Let  $X$  be an algebraic variety. Define a new topology, called Zariski topology, on  $X$  by defining a closed subset of  $X$  to be a subvariety of  $X$ . Note that this topology is not Hausdorff. For example, take a Riemann surface  $X$ . A closed subset of  $X$  in Zariski topology is a set of finitely many points on  $X$ .

## 2. MEROMORPHIC FUNCTIONS, DIVISORS AND LINE BUNDLES

Let  $X$  be a smooth algebraic variety, i.e.,  $X$  is holomorphically embedded in some  $\mathbb{P}^n$ . Let  $F$  and  $G$  be two homogeneous polynomials over  $\mathbb{P}^n$  of the degree  $d$ . Consider the quotient

$$\frac{F}{G} = \frac{k^d F}{k^d G} = \frac{F(kz_0, \dots, kz_n)}{G(kz_0, \dots, kz_n)} \quad \text{for } k \in \mathbb{C}^*.$$

Hence  $f = \frac{F}{G}$  is a well defined meromorphic function on  $\mathbb{P}^n$ .

**Example 2.1.** Consider  $X = \mathbb{P}^1$ . Take  $F(z_0, z_1) = z_1^2$ ,  $G(z_0, z_1) = z_0(z_0 - z_1)$ . Let  $f = F/G$ . The zeros of  $f$  counted with multiplicity are  $2p$  where  $p = [1, 0]$  and the pole of  $f$  counted with multiplicity are  $q_1$  and  $q_2$  where  $q_1 = [0, 1]$  and  $q_2 = [1, 1]$ . We use the symbol

$$(f) = 2p - q_1 - q_2,$$

called the divisor associated to  $f$ , to record the zeros and poles (counted with multiplicity) of  $f$ . If  $g$  is another meromorphic function on  $X$  with  $(g) = 2p - q_1 - q_2$ , then the meromorphic function  $f/g$  has no zeros and no poles. Hence it must be a holomorphic function which must be a constant by the Theorem 1.4, i.e.,  $f = ag$  for a constant  $a$ . Therefore the divisor  $(f)$  determines the function  $f$  up to a multiple of a constant.

In general, for any meromorphic function  $f$  on a complex compact manifold  $X$ , the divisor  $(f) = \sum_{i=1}^k m_i V_i$  is a formal sum where  $V_i$ 's are codimension one subvarieties of  $X$ ,  $f$  vanishes along  $V_i$  with multiplicity  $m_i$  if  $m_i > 0$  and  $f$  has a pole along  $V_i$  with multiplicity  $m_i$  if  $m_i < 0$ . By the same argument as that of the example above, we see that the divisor  $(f)$  determines the meromorphic function  $f$  up to a constant.

Now let's give a definition of divisors in the most general context.

**Definition 2.2.** A divisor  $D$  on  $X$  is a formal sum  $D = \sum_{i=1}^k m_i V_i$  where  $V_i$ 's are codimension one subvarieties of  $X$  and  $m_i$ 's are integers.

A divisor in general is not  $(f)$  for some meromorphic function  $f$  on  $X$ . For example, let  $X$  be  $\mathbb{P}^1$ ,  $D = p_1 + p_2$ . If  $D = (f)$ , then  $f$  would be a holomorphic function on  $X$  since it has no poles and it is not a constant since it vanishes only at the points  $p_1$  and  $p_2$ , a contradiction.

We define  $Div(X)$  to be the set of all divisors on  $X$ . Addition, minus and the zero element can be defined on  $Div(X)$  as follows:

- (i) Addition: for  $D = \sum m_i V_i$ ,  $D' = \sum m'_j V'_j$ , define  $D + D' = \sum m_i V_i + \sum m'_j V'_j$ .

- (ii) Minus:  $-D = \sum(-m_i)V_i$ .
- (iii) Zero element:  $D = (1)$ .

We can check that  $Div(X)$  is an abelian group. Note that

$$(f \cdot g) = (f) + (g), \quad -(f) = \left(\frac{1}{f}\right)$$

for meromorphic functions  $f, g$  on  $X$ .

Here comes a question:

**Question 2.3.** What does a general divisor represent?

In order to answer the question, we take a different look at divisors.

Given a divisor  $D = \sum m_i V_i$ , for any point  $p$  on  $X$ , choose a neighbourhood  $U$  of  $p$  such that there exists a meromorphic function  $f$  on  $U$  with  $U \cap D = (f)$ . Hence we can get an open covering  $\{U_\alpha\}$  of  $X$  together with a collection of meromorphic functions  $f_\alpha$  over  $U_\alpha$ . Such a collection is also called a *Cartier divisor*. Then  $g_{\alpha\beta} = \frac{f_\alpha}{f_\beta}$  is a non-vanishing holomorphic function on  $U_\alpha \cap U_\beta$ .  $g_{\alpha\beta}$ 's satisfy the following properties:

$$g_{\alpha\beta} = g_{\beta\alpha}^{-1} \text{ on } U_\alpha \cap U_\beta, \quad g_{\alpha\beta}g_{\beta\gamma}g_{\gamma\alpha} = 1 \text{ on } U_\alpha \cap U_\beta \cap U_\gamma \quad (2.1)$$

If one knows the theory of vector bundles, one sees that the collection  $\{U_\alpha, f_\alpha\}$  defines a (complex) line bundle on  $X$ .

**Exercise 2.4.** Any Cartier divisor defines a divisor  $D$  in the sense of the Definition 2.2.

**Definition 2.5.** Let  $X$  be a complex manifold. A topological space  $E$  with a continuous map  $\pi$  to  $X$ , called a projection, is a holomorphic (or complex) vector bundle over  $X$  if for any point  $p \in X$   $E|_p$  is a complex vector space and there exists an open covering  $\{U_\alpha\}$  of  $X$  such that

- (i) there exists a homeomorphism  $\varphi_\alpha: E|_{U_\alpha} = \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbb{C}^r$  such that  $\varphi_\alpha|_p: E|_p \rightarrow p \times \mathbb{C}^r$  is an isomorphism of complex vector spaces.
- (ii)  $g_{\alpha\beta}(x) = \varphi_\alpha \circ \varphi_\beta^{-1}|_{x \times \mathbb{C}^r}: x \times \mathbb{C}^r \rightarrow x \times \mathbb{C}^r$ , called the transition function, is a holomorphic map from  $U_\alpha \cap U_\beta$  to  $GL(r, \mathbb{C})$ .

$\varphi_\alpha: E|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{C}^r$  is called a trivialization of  $E$  over  $U_\alpha$ ,  $r$  is called the rank of  $E$ . One can check that  $g_{\alpha\beta}$ 's satisfy (2.1).

Conversely, given an open covering  $\{U_\alpha\}$  of  $X$  and a collection of  $g_{\alpha\beta}$ 's which are holomorphic maps from  $U_\alpha \cap U_\beta$  to  $GL(r, \mathbb{C})$  satisfying (2.1), we can construct a holomorphic vector bundle  $E$  over  $X$ :

$$E = \coprod U_\alpha \times \mathbb{C}^r / \sim$$

where  $(x, v_\alpha) \sim (y, v_\beta)$  if and only if  $x = y$  and  $v_\alpha = g_{\alpha\beta}v_\beta$ .

**Exercise 2.6.** Show that such  $E$  above is a well defined holomorphic vector bundle over  $X$ .

Recall that given a divisor  $D$ , we get a Cartier divisor  $\{U_\alpha, f_\alpha\}$ .  $g_{\alpha\beta} = f_\alpha/f_\beta$  is a nonvanishing holomorphic function on  $U_\alpha \cap U_\beta$  satisfying (2.1). Hence by the discussion above, we get a (holomorphic) line bundle, denoted by  $[D]$ . In fact, we get something more. We can also get a meromorphic section of  $[D]$  whose associated divisor is  $D$ .

**Definition 2.7.** Given a line bundle  $L$ . Let  $s$  be a holomorphic section of the projection  $\pi: L \rightarrow X$  away from a codimension one subvariety such that for each  $p \in X$  there exist a neighbourhood  $U$  of  $p$  and a trivialization  $\varphi: L|_U \rightarrow U \times \mathbb{C}$  such that  $\varphi(s)(x) = (x, f(x))$  where  $f$  is a meromorphic function over  $U$ .

Given a Cartier divisor  $D = \{U_\alpha, f_\alpha\}$ , there exists a “canonical” meromorphic section  $s$  of  $[D]$  defined as follows: for the trivialization  $\varphi_\alpha: [D]|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{C}$ ,  $s(x) = \varphi_\alpha^{-1}(x, f_\alpha(x))$  for  $x \in U_\alpha$ . One can check that  $s$  is a globally defined meromorphic section of  $[D]$ .

For a meromorphic section  $s$  of a line bundle  $L$ . we can define a divisor  $D$  associated to  $s$ , denoted by  $(s)$ , as follows: take local trivializations of  $L$  over  $X$ ,  $\varphi_\alpha: L|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{C}$ . Let  $\varphi_\alpha(s)(x) = (x, f_\alpha(x))$ .  $f_\alpha$  is a meromorphic function over  $U_\alpha$ . One can check that  $f_\alpha/f_\beta = \varphi_\alpha \circ \varphi_\beta^{-1}$ . Hence  $f_\alpha/f_\beta$  is a nonvanishing holomorphic function over  $U_\alpha \cap U_\beta$ . Therefore  $\{U_\alpha, f_\alpha\}$  is a Cartier divisor.

Now we can conclude that there is a one-to-one correspondence between the set of divisors  $D$  (equivalently Cartier divisors) and the set of line bundles  $L$  with meromorphic sections  $s$  up to a constant. In another word, a divisor  $D$  corresponds to a meromorphic section  $s$  of the line bundle  $[D]$ .

Define  $Pic(X)$  to be the set of (holomorphic) line bundles over  $X$  modulo bundle isomorphisms.  $Pic(X)$  is a multiplicative abelian group:

- (i) Multiplication: given  $L$  and  $L'$  in  $Pic(X)$ ,  $L \otimes L'$  is the multiplication. If  $\{g_{\alpha\beta}, U_\alpha\}$  and  $\{g'_{\alpha\beta}, U_\alpha\}$  are transition functions of  $L$  and  $L'$  respectively, then  $\{g_{\alpha\beta}g'_{\alpha\beta}, U_\alpha\}$  are the transition functions of  $L \otimes L'$ .
- (ii) Inverse: Given  $L \in Pic(X)$ , the inverse of  $L$ , denoted by  $L^*$ , is the dual bundle  $Hom(L, \mathbb{C})$ . The transition functions of  $L^*$  are  $\{g_{\alpha\beta}^{-1}, U_\alpha\}$ .
- (iii) Unit element: the trivial line bundle is the unit element.

Now we get a map

$$[\ ]: Div(X) \rightarrow Pic(X). \quad (2.2)$$

One can check the map  $[\ ]$  is a homomorphism of groups.

A deep theorem of Lefschetz on (1,1)-classes implies that the map  $[\ ]$  is surjective when  $X$  is a projective manifold, i.e.,  $X$  is holomorphically embedded in some projective space as a closed complex submanifold.

The next question is what the kernel of  $[\ ]$  is.

Let  $D = \{U_\alpha, f_\alpha\}$  be a Cartier divisor such that  $[D] = X \times \mathbb{C}$ . There exist trivializations  $\varphi_\alpha: [D]|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{C}$  and the transition functions are  $\varphi_\alpha \circ \varphi_\beta^{-1} = f_\alpha/f_\beta$ . Take a nonzero trivial section  $s$  of  $[D] = X \times \mathbb{C}$ . Under the map  $\varphi_\alpha$ ,  $\varphi_\alpha(s)(x) = (x, g_\alpha(x))$  for  $x \in U_\alpha$ . Similarly  $\varphi_\beta(s)(x) = (x, g_\beta(x))$ . Both  $g_\alpha$  and  $g_\beta$  are holomorphic and nonvanishing. Therefore we have  $g_\alpha(x) = \varphi_\alpha \circ \varphi_\beta^{-1}|_{x \times \mathbb{C}}(g_\beta(x)) = \frac{f_\alpha}{f_\beta} g_\beta$ . Hence over  $U_\alpha \cap U_\beta$ ,  $\frac{f_\alpha}{g_\alpha} = \frac{f_\beta}{g_\beta}$ , i.e.,  $\{\frac{f_\alpha}{g_\alpha}\}$  is a globally defined meromorphic function  $f$  on  $X$  and  $D = (f)$ . One can also check easily that if  $D = (f)$ ,  $[D]$  is a trivial line bundle. Therefore we get the kernel of the map  $[\ ]$  is the set of global meromorphic functions on  $X$  and  $Pic(X) = Div(X)/Ker[\ ]$ . This gives rise to the following definition.

**Definition 2.8.** Given two divisors  $D$  and  $D'$  on  $X$ .  $D$  and  $D'$  are said to be linearly equivalent, denoted by  $D \sim D'$ , if there exists a global meromorphic function  $f$  on  $X$  such that  $D = D' + (f)$ . Equivalently,  $D \sim D'$  if and only if  $[D] = [D']$ .

Now we can give a complete answer to the Question 2.3: a divisor  $D$  corresponds to a line bundle  $[D]$  with a meromorphic section  $s$  and vice versa. The section  $s$  can be regarded as a “twisted” meromorphic function. A meromorphic function  $f$  corresponds to a “special” divisor linearly equivalent to 0 which corresponds to the trivial line bundle with the meromorphic section given by  $f$ .

Let's look at several examples.

**Example 2.9.** Universal line bundle on  $\mathbb{P}^n$ .

Consider a subset  $L \subset \mathbb{P}^n \times \mathbb{C}^{n+1}$ :

$$L = \{([z_0, \dots, z_n], (\ell_0, \dots, \ell_n)) \in \mathbb{P}^n \times \mathbb{C}^{n+1} \mid (\ell_0, \dots, \ell_n) = k(z_0, \dots, z_n) \text{ for some } k\}$$

with the projection to the first factor  $\pi: L \rightarrow \mathbb{P}^n$ . Define

$$\varphi_i: L|_{U_i} \rightarrow U_i \times \mathbb{C}, \quad ([z_0, \dots, z_n], (\ell_0, \dots, \ell_n)) \rightarrow ([z_0, \dots, z_n], \ell_i).$$

One can check that this is an isomorphism of vector spaces on each fiber. Over  $U_i \cap U_j$   $g_{ij} = \varphi_i \circ \varphi_j^{-1}([z_0, \dots, z_n], \ell_j) = ([z_0, \dots, z_n], \frac{z_i}{z_j} \ell_j)$ .

Hence the transition functions are  $\{\frac{z_i}{z_j}, U_i \cap U_j\}$ . Therefore  $L$  is a line bundle, called the universal line bundle.

$s_0([z_0, \dots, z_n]) = ([z_0, \dots, z_n], (1, \frac{z_1}{z_0}, \dots, \frac{z_n}{z_0}))$  is a meromorphic section of  $L$  whose associated divisor  $(s_0) = -H$  where  $H$  is the hyperplane  $H = \{z_0 = 0\}$  in  $\mathbb{P}^n$ . Hence  $L = [-H]$ . The line bundle  $[H]$ , which is the dual of  $[-H]$ , is called the hyperplane line bundle.

**Example 2.10.** Canonical line bundle.

Let  $X$  be a complex manifold of complex dimension equal to  $n$ ,  $T_X^*$  be the holomorphic cotangent bundle of  $X$ . Define the canonical line bundle  $K_X = \wedge^n T_X^*$ .

On  $\mathbb{P}^n$ , over  $U_0$ ,  $\omega_0 = d(\frac{z_1}{z_0}) \wedge \dots \wedge d(\frac{z_n}{z_0})$  is a nonvanishing holomorphic  $n$ -form, hence provides a trivialization of  $K_X$  over  $U_0$ ,

$$\varphi_0: K_X|_{U_0} \rightarrow U_0 \times \mathbb{C}, \quad f(p)\omega_0 \rightarrow (p, f(p))$$

where  $f$  is a holomorphic function on  $U_0$ .

Similarly, over  $U_1$ ,  $\omega_1 = -d(\frac{z_0}{z_1}) \wedge d(\frac{z_2}{z_1}) \wedge \dots \wedge d(\frac{z_n}{z_1})$  provides a trivialization of  $K_X$  over  $U_1$ ,

$$\varphi_1: K_X|_{U_1} \rightarrow U_1 \times \mathbb{C}, \quad g(p)\omega_1 \rightarrow (p, g(p))$$

where  $g$  is a holomorphic function on  $U_1$ . Now we have

$$\begin{aligned} \omega_1 &= -d\left(\frac{z_0}{z_1}\right) \wedge d\left(\frac{z_2}{z_1}\right) \wedge \dots \wedge d\left(\frac{z_n}{z_1}\right) \\ &= \frac{d(z_1/z_0)}{z_1^2/z_0^2} \wedge d\left(\frac{z_2/z_0}{z_1/z_0}\right) \wedge \dots \wedge d\left(\frac{z_n/z_0}{z_1/z_0}\right) \\ &= \frac{z_0^2}{z_1^2} d(z_1/z_0) \wedge \frac{(z_1/z_0)d(z_2/z_0) - (z_2/z_0)d(z_1/z_0)}{z_1^2/z_0^2} \wedge \dots \\ &= \left(\frac{z_0}{z_1}\right)^{n+1} d\left(\frac{z_1}{z_0}\right) \wedge \dots \wedge d\left(\frac{z_n}{z_0}\right) \\ &= \left(\frac{z_0}{z_1}\right)^{n+1} \omega_0. \end{aligned}$$

Hence the transition function  $g_{01} = \left(\frac{z_0}{z_1}\right)^{n+1}$ . Similarly we can obtain the other transition functions  $g_{ij}$ . Compare this with the previous Example 2.9, we see that  $K_X = [-H]^{\otimes(n+1)} = [-(n+1)H]$ .

**Example 2.11.** Adjunction formula.

Let  $X$  be a complex manifold of dimension  $n$ ,  $V \subset X$  be a codimension one submanifold of  $X$ . We have the following exact sequence of vector bundles

$$0 \rightarrow T_V \rightarrow T_X|_V \rightarrow N_{V/X} \rightarrow 0$$

where  $N_{V/X}$  is the normal bundle of  $V$  in  $X$ . Take the dual of the exact sequence, we get

$$0 \rightarrow N_{V/X}^* \rightarrow T_X^*|_V \rightarrow T_V^* \rightarrow 0$$

where  $N_{V/X}^*$  is called the conormal bundle of  $V$  in  $X$ .

Choose an open covering  $\{U_\alpha\}$  of  $X$  such that, over each  $U_\alpha$ ,  $V \cap U_\alpha$  is given by the zero locus  $\{f_\alpha = 0\}$  of some holomorphic function  $f_\alpha$  defined over  $U_\alpha$ . Then  $df_\alpha|_{V \cap U_\alpha}$  is a non-vanishing holomorphic section of  $N_{V/X}^*$ . One way to see this is to choose a local coordinates  $z_1, \dots, z_n$  in  $U_\alpha$  such that  $V \cap U_\alpha = \{z_1 = 0\}$ . Hence  $dz_1, \dots, dz_n$  is a basis for  $T_X^*|_{U_\alpha}$ ,  $dz_2, \dots, dz_n$  is a basis of  $T_V^*|_{U_\alpha}$ ,  $dz_1$  is a basis of  $N_{V/X}^*$  and we take  $f_\alpha = z_1$ .

Now  $df_\alpha|_{V \cap U_\alpha}$  provides a local trivialization of  $N_{V/X}^*$ :

$$\varphi_\alpha: N_{V/X}^*|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{C}, \quad g_\alpha(p)df_\alpha|_V \rightarrow (p, g_\alpha(p)).$$

When restricted to  $V$ , we get

$$df_\beta|_V = d\left(\frac{f_\beta}{f_\alpha} \cdot f_\alpha\right)|_V = d\left(\frac{f_\beta}{f_\alpha}\right)|_V \cdot f_\alpha|_V + \frac{f_\beta}{f_\alpha}|_V \cdot d(f_\alpha)|_V = \frac{f_\beta}{f_\alpha}|_V \cdot d(f_\alpha)|_V.$$

Hence  $g_\alpha = \left(\frac{f_\beta}{f_\alpha}\right)|_V g_\beta$ , i.e., the transition function for  $N_{V/X}^*$  over  $U_\alpha \cap U_\beta$  is  $\frac{f_\beta}{f_\alpha}|_V$  which is also the transition functions for the line bundle  $[-V]|_V$ . Therefore  $N_{V/X}^* = [-V]|_V$ . Since  $\wedge^n(T_X^*|_V) = (\wedge^{n-1}T_V^*) \otimes N_{V/X}^*$ , we get the so called adjunction formula

$$K_V = (K_X \otimes [V])|_V. \quad (2.3)$$

Sometimes people use  $K_X$  to denote a divisor corresponding to the canonical line bundle as well, called the canonical divisor.

Given a divisor  $D = \sum m_i V_i$ , we say that  $D$  is effective if and only if  $m_i \geq 0$ , denoted by  $D \geq 0$ . If  $D$  is effective, then  $[D]$  has holomorphic sections. Define  $H^0(X; [D])$  to be the vector space of holomorphic

sections of the line bundle  $[D]$ . This space can be empty which means that the line bundle  $[D]$  doesn't have holomorphic sections. Conversely if a line bundle  $L$  has a holomorphic section  $s$ , then the divisor  $D = (s)$  is an effective divisor.

**Definition 2.12.** Given a divisor  $D$ ,  $|D|$  is defined to be the set of all effective divisors linearly equivalent to  $D$  and is called the linear system. Define  $\mathcal{L}(D) = \{f \text{ meromorphic} \mid (f) + D \geq 0\}$ .

Take a meromorphic section  $s_0$  of  $[D]$  such that  $(s_0) = D$ . Then  $(fs_0) = (f) + (s_0) \geq 0$  for  $f \in \mathcal{L}(D)$ . Hence  $fs_0$  is a holomorphic section of  $[D]$ . Therefore there is a one-to-one correspondence between  $\mathcal{L}(D)$  and  $H^0(X; [D])$  and  $|D| = \mathbb{P}(H^0(X; [D]))$ .

One of the most important usages of line bundles is to construct morphisms from line bundles. It goes as follows.

Suppose  $H^0(X; [D])$  isn't empty. Let  $E$  be a subspace of  $H^0(X; [D])$ . Take a basis  $\{s_0, \dots, s_k\}$  of  $E$ . Let  $B = \{p \in X \mid s_0(p) = 0, \dots, s_k(p) = 0\}$ .  $B$  is called the base locus of  $E$ . When  $E = H^0(X, [D])$ ,  $B$  is also called the base locus of the linear system  $|D|$ , i.e.,  $p \in B$  if and only if  $p \in D'$  for all  $D' \in |D|$ .

We can define a "map"  $\varphi_E: X - \rightarrow \mathbb{P}^k$  by mapping  $p \in X$  to  $[s_0(p), \dots, s_k(p)]$ . To be more precise, given a point  $p \notin B$ , take a trivialization of  $L$  over an open subset  $U$  containing  $p$  and let  $f_0, \dots, f_k$  be the corresponding holomorphic functions of  $s_0(p), \dots, s_k(p)$  respectively under the trivialization and define  $\varphi_E(p) = [f_0(p), \dots, f_k(p)]$ . One can check that the definition is independent of trivializations. Clearly this map is only defined over  $X - B$  and is called a rational map in general. It is a holomorphic map on  $X - B$  and the image of  $\varphi_E$  doesn't lie on any hyperplane in  $\mathbb{P}^k$ , called non-degenerate.

**Definition 2.13.**  $\varphi: X - \rightarrow Y$  is called a rational map between two varieties  $X$  and  $Y$  if there exists a subvariety  $V$  of  $X$  such that  $\varphi: X - V \rightarrow Y$  is a holomorphic map.

Suppose  $B = \emptyset$ , then we get a morphism  $\varphi_E: X \rightarrow \mathbb{P}^k$ . Choosing a different basis of  $E$  amounts to a projective automorphism of  $\mathbb{P}^k$ . One can check that  $\varphi^*[H] = [D]$  where  $[H]$  is the hyperplane line bundle on  $\mathbb{P}^k$ .

Conversely, if we have a non-degenerate map  $f: X \rightarrow \mathbb{P}^k$ . Take  $z_0, \dots, z_k$  as the basis of  $H^0(\mathbb{P}^k; [H])$ . Then  $f^*z_0, \dots, f^*z_k$  form a basis of a subspace  $E$  of  $H^0(X; f^*[H])$ . Hence we get a one-to-one correspondence between the set of non-degenerate maps  $f: X \rightarrow \mathbb{P}^k$  modulo projective transformations and the set of line bundles  $L$  over  $X$  with

a  $k + 1$ -dimensional subspace  $E$  of  $H^0(X; L)$  such that  $E$  has no base locus.

**Question 2.14.**

- (i) Given a line bundle, how do we compute the dimension of  $H^0(X; L)$ ?
- (ii) When is the linear system  $|D|$  base point free?
- (iii) When is the map  $\varphi_E$  an embedding?

In order to answer the questions above, we need Riemann-Roch Theorem, Serre duality and Kodaira vanishing Theorem all of which depend on the cohomology theory of sheaves.

Finally, let's list some results which we won't prove.

**Theorem 2.15** (Bertini's Theorem). *Let  $X$  be a compact complex submanifold of  $\mathbb{P}^n$ . There exists a hyperplane  $H \subset \mathbb{P}^n$  such that  $V = X \cap H$  is a complex submanifold of  $X$ .*

**Theorem 2.16** (Lefschetz Hyperplane Theorem). *With the same assumption as in Theorem 2.15. Then the map  $H^q(X, \mathbb{Q}) \rightarrow H^q(V, \mathbb{Q})$  induced by the inclusion  $V \rightarrow X$  is an isomorphism for  $q \leq n - 2$  where  $n$  is the complex dimension of  $X$ .*

Using Bertini's theorem, we can construct many projective manifolds. Let  $\varphi_d: \mathbb{P}^n \rightarrow \mathbb{P}^N$  be the  $d$ -uple embedding,

$$\mathbb{P}^d \rightarrow \mathbb{P}^N, \quad [z_0, \dots, z_n] \rightarrow [u_0, \dots, u_N]$$

where  $\{u_0, \dots, u_N\}$  is the collection of all monomials such as  $z_0^d, z_0^{d-1}z_1, \dots, z_n^d$ . By abuse of notations, we also use  $[u_0, \dots, u_N]$  as the homogeneous coordinates of  $\mathbb{P}^N$ . By Bertini's theorem, take a hyperplane  $H = \{a_0u_0 + \dots + a_Nu_N = 0\}$  of  $\mathbb{P}^N$  such that  $H \cap \varphi_d(\mathbb{P}^n)$  is a submanifold of  $\varphi_d(\mathbb{P}^n)$ .  $H \cap \varphi_d(\mathbb{P}^n)$  is isomorphic to a smooth hypersurface  $Y$  of  $\mathbb{P}^n$  given by a degree  $d$  homogeneous polynomial  $F = a_0z_0^d + \dots + a_Nz_n^d$ . We can use the adjunction formula to calculate the canonical line bundle  $K_Y$ .

First of all, the line bundle  $[Y] \cong [dH_0]$  where  $H_0$  is the hyperplane  $\{z_0 = 0\}$ . This is because the meromorphic function  $\frac{F}{z_0^d}$  has its associated divisor to be  $Y - dH_0$ . Thus divisors  $Y$  and  $dH_0$  are linearly equivalent.

By the adjunction formula,

$$K_Y \cong (K_{\mathbb{P}^n} \otimes [Y])|_Y \cong ([-(n+1)H_0] \otimes [dH_0])|_Y = [(d-n-1)H_0]|_Y.$$

Let  $n = 2$ . When  $d = 1$ ,  $Y$  is a line in  $\mathbb{P}^2$ . When  $d = 2$ ,  $Y$  is a conic curve still isomorphic to  $\mathbb{P}^1$ . This can be seen via the 2-uple

embedding:  $[x, y] \in \mathbb{P}^1 \rightarrow [x^2, xy, y^2] \in \mathbb{P}^2$ . If we use  $[u, w, v]$  as the homogeneous coordinates of  $\mathbb{P}^2$ , the image of the 2-uple embedding is given by  $uv - w^2 = 0$ , a conic curve. Other conic curves can be mapped to this conic via automorphisms in  $PGL(2) = \mathbb{P}(GL(3, \mathbb{C}))$ . Therefore in both cases above,  $K_Y$  has no global non-zero sections. When  $d = 3$ ,  $K_Y \cong Y \times \mathbb{C}$  and thus  $\dim \Gamma(Y, K_Y) = 1$ . This is the cubic curve which is an elliptic curve. When  $d \geq 4$ ,  $K_Y \cong [(d-3)H_0]|_Y$  and  $\dim \Gamma(Y, K_Y) \geq 1$ . In fact, one can calculate that  $\dim \Gamma(Y, K_Y) = (d-1)(d-2)/2 > 1$ .

Let  $n = 3$ . When  $d = 1, 2, 3$ ,  $K_Y \cong [(d-4)H_0]|_Y$  has no non-zero global sections. For  $d = 1$ ,  $Y$  is just isomorphic to  $\mathbb{P}^2$ . For  $d = 2$ , the quadric surface is isomorphic to  $\mathbb{P}^1 \times \mathbb{P}^1$ . This can be seen as follows. Consider the map  $f: \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^3$ ,  $[x, y] \times [u, v] \rightarrow [xu, xv, yu, yv]$ . If we use  $[z_0, z_1, z_2, z_3]$  as the homogenous coordinates of  $\mathbb{P}^3$ , the image of the map  $f$  is given by the equation  $z_0z_3 - z_1z_2 = 0$ , i.e., a quadric surface. When  $d = 4$ ,  $K_Y \cong Y \times \mathbb{C}$  is a trivial line bundle, similar to the elliptic curve for dimensional one case. Such a surface is called K3 surface. When  $d > 4$ ,  $K_Y \cong [(d-4)H_0]|_Y$  with  $d-4 > 0$ . Such surfaces are called general type.

Let  $n = 4$ , When  $d = 1, 2, 3, 4$ ,  $K_Y \cong [(d-5)H_0]|_Y$  has no non-zero global sections. When  $d = 5$ ,  $K_Y \cong Y \times \mathbb{C}$  is a trivial line bundle, similar to K3 surfaces. It is called the Calabi-Yau three-fold. When  $d > 5$ ,  $Y$  is called general type and  $K_Y \cong [(d-5)H_0]|_Y$  with  $d-5 > 0$ .

### 3. SHEAVES AND COHOMOLOGIES OF SHEAVES

**Example 3.1.** Let  $X$  be a complex manifold,  $U$  be an open subset of  $X$ . Let  $\mathcal{O}(U)$  be the set of holomorphic functions on  $U$ .  $\mathcal{O}(U)$  is an abelian group. For two open subsets  $U \subset V$ , the restriction map

$$r_{V,U}: \mathcal{O}(V) \rightarrow \mathcal{O}(U), \quad r_{V,U}(f) = f|_U$$

is a group homomorphism.  $r_{U,U}$  is an identity map. We have the following properties:

- (i) For any triple of open subsets  $U \subset V \subset W$ , we have  $r_{W,U} = r_{V,U} \circ r_{W,V}$ .
- (ii) For a collection of open sets  $U_\alpha \subset X$ , let  $U = \cup_\alpha U_\alpha$ . If  $h \in \mathcal{O}(U)$  and  $r_{U,U_\alpha}(h) = 0$ , then  $h = 0$ .
- (iii) If  $f_\alpha \in \mathcal{O}(U_\alpha)$  and if  $r_{U_\alpha, U_\alpha \cap U_\beta}(f_\alpha) = r_{U_\beta, U_\alpha \cap U_\beta}(f_\beta)$ , then there exists  $h \in \mathcal{O}(U)$  such that  $r_{U,U_\alpha}(h) = f_\alpha$ .

We define the stalk  $\mathcal{O}_{X,p}$  to be the group

$$\{(f, U) \mid f \in \mathcal{O}(U), U \text{ is an open subset containing } p\} / \sim$$

where the equivalence relation  $\sim$  is defined as  $(f, U) \sim (g, V)$  if and only if there exists an open subset  $W$  containing  $p$ ,  $W \subset U \cap V$  such that  $f|_W = g|_W$ . The stalk  $\mathcal{O}_{X,p} = \{ \text{converging power series at } p \}$ .

So we have seen an example of a sheaf.

**Definition 3.2.**  $\mathcal{F}$  is called a sheaf over  $X$  if for any open subset  $U$  of  $X$ , there exists an abelian group  $\mathcal{F}(U)$ . For any two subsets  $U \subset V$ , there exists a restriction map  $r_{V,U}: \mathcal{F}(V) \rightarrow \mathcal{F}(U)$  which is a group homomorphism satisfying the properties (i), (ii) and (iii) above with  $\mathcal{O}$  replaced by  $\mathcal{F}$  and an additional property  $\mathcal{F}(\emptyset) = 0$  and  $r_{UU} = id$ .

Any element  $f \in \mathcal{F}(U)$  is called a section of  $\mathcal{F}$  over  $U$ .

The sheaf  $\mathcal{O}_X$  we constructed in the Example 3.1 is called the structure sheaf of  $X$ . Note that  $\mathcal{O}_X$  is also a sheaf of rings since each  $\mathcal{O}_X(U)$  is a ring.

If, in addition,  $F(U)$  is an  $\mathcal{O}_X(U)$ -module for any open subset  $U$ , and the restriction maps  $r_{V,U}$  are compatible with module structures, then  $\mathcal{F}$  is called a sheaf of  $\mathcal{O}_X$ -module.

We can define the stalk of the sheaf  $\mathcal{F}$  at a point  $p$  as

$$\mathcal{F}_p = \{(f, U) \mid f \in \mathcal{F}(U), U \text{ is an open subset containing } p\} / \sim$$

where the equivalence relation  $\sim$  is defined as  $(f, U) \sim (g, V)$  if and only if there exists an open subset  $W$  containing  $p$ ,  $W \subset U \cap V$  such that  $r_{U,W}(f) = r_{V,W}(g)$ .

**Example 3.3.**

- (i) The constant sheaf  $\mathbb{Z}$  is defined as  $\mathbb{Z}(U) = \mathbb{Z}$  for any connected open subset  $U$  and the restriction map is the natural one.
- (ii)  $\Omega_X^p$ :  $\Omega_X^p(U) = \{\text{holomorphic } p\text{-forms on } U\}$  and the restriction map is the natural one.
- (iii) The ideal sheaf  $\mathcal{I}_S$  of a subvariety  $S$  of  $X$ :

$$\mathcal{I}_S(U) = \{\text{holomorphic functions on } U \text{ vanishing on } S \cap U\}$$

and the restriction map is the natural one.

- (iv)  $\mathcal{O}_X^*$ :  $\mathcal{O}_X^*(U)$  is the multiplicative group of nonvanishing holomorphic functions on  $U$ .

Let  $\pi: E \rightarrow X$  be a holomorphic vector bundle over  $X$ . There is a sheaf associated with  $E$ , denoted by  $\mathcal{O}_X(E)$ , defined as

$$\mathcal{O}_X(E)(U) = \{\text{holomorphic sections of } E|_U\}.$$

One can check that  $\mathcal{O}_X(E)$  is a sheaf of  $\mathcal{O}_X$ -module. Moreover, for any point  $p \in U$ , take a local trivialization  $\varphi: E|_U \rightarrow U \times \mathbb{C}^r$  where  $r$  is the rank of  $E$ . Hence a holomorphic section  $\sigma$  of  $E|_U$  can be written

as  $(f_1, \dots, f_r)$  where  $f_i$  is holomorphic over  $U$ . Therefore  $\mathcal{O}_X(E)(U)$  is isomorphic to  $\mathcal{O}_X(U) \oplus \dots \oplus \mathcal{O}_X(U)$  as modules. We call this type of sheaves *locally free*. Hence a holomorphic vector bundle corresponds to a locally free sheaf. Converse is also true, i.e., a locally free sheaf  $\mathcal{E}$  corresponds to a holomorphic vector bundle  $E$  such that  $\mathcal{E} = \mathcal{O}_X(E)$ . So sometimes we don't distinguish the difference between  $E$  and  $\mathcal{O}_X(E)$ .

**Example 3.4.** The trivial line bundle  $X \times \mathbb{C}$  corresponds to the structure sheaf  $\mathcal{O}_X$

Given a divisor  $D$ , it corresponds to the line bundle  $[D]$  which corresponds to the rank-1 locally free sheaf  $\mathcal{O}_X([D])$ . By abuse of the notation, we shall use  $\mathcal{O}_X(D)$  to denote  $\mathcal{O}_X([D])$  and call it an invertible sheaf.

**Definition 3.5.** Given two sheaves  $\mathcal{F}$  and  $\mathcal{G}$  on  $X$ .  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$  is called a sheaf morphism if for any open subset  $U$  of  $X$ , there exists a homomorphism of groups  $\varphi_U: \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  which is compatible with the restriction maps, i.e.,  $r_{V,U} \circ \varphi_U = \varphi_V \circ r_{V,U}$ .

If, in addition,  $\mathcal{F}$  and  $\mathcal{G}$  are sheaves of  $\mathcal{O}_X$ -modules and  $\varphi_U$  is a morphism of  $\mathcal{O}_X(U)$ -modules, then  $\varphi$  is called a morphism of sheaves of  $\mathcal{O}_X$ -modules.

**Example 3.6.** Let  $S$  be a subvariety of  $X$ . The inclusion map  $\mathcal{I}_S \rightarrow \mathcal{O}_X$  is a morphism of sheaves of  $\mathcal{O}_X$ -modules.

The inclusion map  $\varphi_U: \mathbb{Z}(U) \rightarrow \mathcal{O}_X(U)$  provides a morphism of sheaves from the constant sheaf  $\mathbb{Z}$  to the structure sheaf.

The exponential map

$$\exp_U: \mathcal{O}_X(U) \rightarrow \mathcal{O}_X^*(U), \quad f \in \mathcal{O}_X(U) \rightarrow e^{2\pi i f} \in \mathcal{O}_X^*(U)$$

is a morphism of sheaves from  $\mathcal{O}_X$  to  $\mathcal{O}_X^*$ .

Given a morphism  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$  between two sheaves, it is easy to see that it induces a morphism  $\varphi_p: \mathcal{F}_p \rightarrow \mathcal{G}_p$  between the stalks of the sheaves at a point  $p \in X$ .  $\varphi$  is called *injective* (or *surjective*) if  $\varphi_p$  is injective (surjective respectively) for every point  $p \in X$ . One can show as an exercise that  $\varphi$  is injective if and only if for any open subset  $U$  of  $X$ , the map  $\varphi_U: \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is injective. The similar statement for surjection doesn't hold.

**Example 3.7.** The inclusion map  $\mathbb{Z} \rightarrow \mathcal{O}_X$  is an injection. The exponential map  $\exp: \mathcal{O}_X \rightarrow \mathcal{O}_X^*$  is surjective. This can be checked as follows. Let  $(f, U)$  be an element in  $\mathcal{O}_{X,p}^*$ . We can assume that  $U$  is simply connected. Hence there exists  $g \in \mathcal{O}_X(U)$  such that  $f = e^{2\pi i g}$ . Hence  $\exp_p((g, U)) = (f, U)$ . In fact, the following sequence is exact,

i.e., (use as a definition) it is an exact sequence for stalks at every point of  $X$ ,

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X^* \rightarrow 0. \quad (3.1)$$

The exactness at the middle term is easy to check.

If we take  $X = \mathbb{C}$ , take  $U = \mathbb{C} - 0$ . Then  $z \in \mathcal{O}_X^*(U)$ . But there doesn't exist any  $f \in \mathcal{O}_X(U)$  such that  $\exp(f) = z$ . Thus surjection of a sheaf morphism  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$  doesn't imply  $\varphi_U: \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is surjection.

**Example 3.8.** Let  $S$  be a subvariety (not necessarily smooth) of  $X$ , but we assume that it is smooth for the simplicity. One can check the following exact sequence is exact:

$$0 \rightarrow \mathcal{I}_S \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_S \rightarrow 0$$

where the last morphism is the restriction map from  $X$  to  $S$ .

Assume  $S$  is of codimension one (the following statement is also true when  $S$  is singular). Let  $s_0$  be the holomorphic section of  $[S]$ . Since  $\mathcal{O}_X(-S) = \mathcal{O}_X(S)^*$ , we will have a morphism  $s_0: \mathcal{O}_X(-S) \rightarrow \mathcal{O}_X$ . One can check that the image of this map is  $\mathcal{I}_S$ . Hence we get another exact sequence

$$0 \rightarrow \mathcal{O}_X(-S) \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_S \rightarrow 0 \quad (3.2)$$

Now let's define the Čech cohomology of sheaves.

Let  $\mathcal{F}$  be a sheaf on  $X$ ,  $\underline{U} = \{U_\alpha\}$  be a locally finite open covering of  $X$ . We define the set of  $p$ -cochains as follows:  $C^0(\underline{U}, \mathcal{F}) = \prod_\alpha \mathcal{F}(U_\alpha)$ ,  $C^1(\underline{U}, \mathcal{F}) = \prod_{\alpha \neq \beta} \mathcal{F}(U_\alpha \cap U_\beta)$ , etc. If  $\sigma = \{\sigma_{\alpha_0 \dots \alpha_p}\} \in C^p(\underline{U}, \mathcal{F})$ , we require that  $\sigma_{\alpha_0 \dots \alpha_p} = (-1)^{\text{sign}(\tau)} \sigma_{\tau(\alpha_0) \dots \tau(\alpha_p)}$  where  $\tau$  is a permutation on  $p+1$  letters. There is an operator

$$\delta: C^p(\underline{U}, \mathcal{F}) \rightarrow C^{p+1}(\underline{U}, \mathcal{F})$$

defined as follows:

$$(\delta\sigma)_{\alpha_0 \dots \alpha_{p+1}} = \sum_{j=0}^{p+1} (-1)^j \sigma_{\alpha_0 \dots \hat{\alpha}_j \dots \alpha_{p+1}}|_{U_{\alpha_0} \cap \dots \cap U_{\alpha_{p+1}}}$$

where  $\hat{\alpha}_j$  means that this term is deleted.

A  $p$ -cochain  $\sigma$  is called a cocycle if  $\delta\sigma = 0$ , and a coboundary if  $\sigma = \delta\tau$  for some  $(p-1)$ -cochain  $\tau$ . We can check that  $\delta^2 = 0$ . Hence  $\text{Im}(\delta: C^{p-1} \rightarrow C^p)$  is contained in  $Z^p(\underline{U}, \mathcal{F}) = \text{Ker}(\delta: C^p \rightarrow C^{p+1})$ . We define

$$H^p(\underline{U}, \mathcal{F}) = \frac{Z^p(\underline{U}, \mathcal{F})}{\delta(C^{p-1}(\underline{U}, \mathcal{F}))}.$$

If  $\underline{U}$  is a “good” covering, then  $H^p(\underline{U}, \mathcal{F})$  is independent of the covering and called the cohomology of the sheaf  $\mathcal{F}$ , denoted by  $H^p(X; \mathcal{F})$ . We use  $h^k(X; \mathcal{F})$  to denote the dimension of the vector space  $H^k(X; \mathcal{F})$  if  $\mathcal{F}$  is a coherent sheaf of  $\mathcal{O}_X$ -module.

Let  $\{\sigma_\alpha\} \in \text{Ker} \delta = H^0(X; \mathcal{F})$ . Then

$$\delta(\sigma)_{\alpha\beta} = \sigma_\beta|_{U_\alpha \cap U_\beta} - \sigma_\alpha|_{U_\alpha \cap U_\beta}.$$

Thus by the definition of sheaves, there exists a section  $s \in \mathcal{F}(X)$  such that  $s|_{U_\alpha} = \sigma_\alpha$ . Therefore  $H^0(X; \mathcal{F}) = \mathcal{F}(X) = \Gamma(X; \mathcal{F})$ .

One sees that  $H^0(X; \mathcal{O}_X(D))$  is the space of holomorphic sections of the line bundle  $[D]$ , or  $\mathcal{O}_X(D)(X)$ .

Using the definition, we can check that  $\text{Pic}(X) = H^1(X; \mathcal{O}_X^*)$  as follows. Take a line bundle  $L$ , choose an open cover  $U_\alpha$  of  $X$  such that  $\varphi_U: L_{U_\alpha} \rightarrow U_\alpha \times \mathbb{C}$  is a trivialization. Thus we get transition functions  $\{g_{\alpha\beta}\}$  satisfying

$$g_{\alpha\beta} \cdot g_{\beta\gamma} \cdot g_{\gamma\alpha} = 1, \quad g_{\alpha\beta} = g_{\beta\alpha}^{-1}.$$

The collection  $\{U_\alpha, g_{\alpha\beta}\}$  gives an element  $g$  in  $C^1(\underline{U}, \mathcal{O}_X^*)$  such that

$$(\delta g)_{\alpha\beta\gamma} = g_{\beta\gamma} \cdot g_{\alpha\gamma}^{-1} \cdot g_{\beta\gamma} = g_{\beta\gamma} \cdot g_{\gamma\alpha} \cdot g_{\alpha\beta} = 1.$$

Thus  $g$  is a cocycle and hence gives a cohomology class in  $H^1(X; \mathcal{O}_X^*)$  and hence a map from  $\text{Pic}(X)$  to  $H^1(X; \mathcal{O}_X^*)$ . This definition is well-defined due to the fact that different choice of trivialization gives another cocycle different from the previous one by a coboundary. Then one can prove that this map is a bijection.

One of the basic properties of the cohomology of sheaves is the following result.

**Theorem 3.9.** *Let  $0 \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow 0$  be a short exact sequence of sheaves on  $X$ . Then there exists a long exact sequence of cohomologies:*

$$\rightarrow H^i(X; \mathcal{E}) \rightarrow H^i(X; \mathcal{F}) \rightarrow H^i(X; \mathcal{G}) \rightarrow H^{i+1}(X; \mathcal{E}) \rightarrow \quad (3.3)$$

where  $i \geq 0$ .

Let's review some results from Hodge theory.

Let  $X$  be a projective nonsingular variety. Then the Hodge Decomposition Theorem says that  $H^k(X; \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$ ,  $H^{p,q}(X) = \overline{H^{q,p}(X)}$ , and  $H^{p,q}(X) = H^q(X; \Omega_X^p)$ . We use  $h^{p,q}$  to denote the dimension of the vector space  $H^{p,q}(X)$ .

**Corollary 3.10.** *The Betti numbers  $b_{2k+1}(X)$  of odd degree are even.*

**Corollary 3.11.**  *$H^q(\mathbb{P}^n; \Omega^p)$  is zero if  $p \neq q$  and is  $\mathbb{C}$  otherwise.*

*Proof.* Since  $H^{2k+1}(\mathbb{P}^n; \mathbb{Z}) = 0$ ,  $H^q(\mathbb{P}^n, \Omega^p) = 0$  if  $p + q$  is odd. Since  $H^{2k}(\mathbb{P}^n; \mathbb{Z}) = \mathbb{Z}$ ,  $1 = b_{2k} = \sum_{p+q=2k} h^{p,q} \geq h^{p,2k-p} + h^{2k-p,p} = 2h^{p,2k-p}$  if  $p \neq k$ . Hence  $h^{2k-p,p} = 0$  if  $p \neq k$  and  $h^{k,k} = 1$ .  $\square$

Finally let's list some terminologies and some facts.

**Facts and Terminologies 3.12.** Let  $X$  be a nonsingular projective variety of dimension  $n$ .

- (i)  $h^{n,0} = \dim H^0(X; K_X) = \dim H^n(X; \mathcal{O}_X)$  is called the geometric genus of  $X$ , denoted by  $p_g$ .
- (ii)  $h^{1,0} = h^0(X; \Omega_X) = h^1(X; \mathcal{O}_X)$  is called the irregularity of  $X$ , denoted by  $q$ . From Hodge theory,  $2q = b_1$ .
- (iii) For a sheaf  $\mathcal{F}$ , the Euler characteristic of  $\mathcal{F}$  is defined as
 
$$\chi(\mathcal{F}) = h^0(X; \mathcal{F}) - h^1(X; \mathcal{F}) + \dots + (-1)^n h^n(X; \mathcal{F}).$$
- (iv)  $P_m = h^0(X; \mathcal{O}_X(K_X^{\otimes m}))$  is called the plurigenera.
- (v) Let  $\mathcal{F}$  be a locally free sheaf on  $X$ , then  $H^i(X; \mathcal{F}) = H^{n-i}(X; \mathcal{F}^* \otimes \mathcal{O}_X(K_X))^*$ . This is a special case of Serre duality.
- (vi) Let  $f: X \rightarrow \mathbb{P}^n$  be a holomorphic embedding. Let  $[H]$  be the hyperplane line bundle on  $\mathbb{P}^n$ . We will use  $\mathcal{O}_{\mathbb{P}^n}(1)$  to denote the invertible sheaf  $\mathcal{O}_{\mathbb{P}^n}(H)$ . Let  $L = f^*[H]$ . Such a line bundle is called very ample. Any line bundle  $\tilde{L}$  on  $X$  such that  $\tilde{L}^{\otimes m} = L$  for some  $m > 0$  is called ample. If  $L$  is ample and  $D$  is a divisor, then there exists  $n_0$  such that  $D \otimes L^n$  is very ample for  $n \geq n_0$ . If  $L$  is ample, then for any line bundle  $E$ , there exists an integer  $n_0$  such that  $h^i(X; E \otimes L^{\otimes n}) = 0$  for  $n \geq n_0$  and  $i > 0$  and  $E \otimes L^{\otimes n}$  is very ample. Kodaira Vanishing Theorem says that if  $\tilde{L}$  is ample, then  $H^q(X; \Omega_X^p \otimes \mathcal{O}_X(\tilde{L})) = 0$  if  $p + q > n$ .

#### 4. RIEMANN SURFACE

Let  $D = \sum m_i p_i$  be a divisor on a Riemann surface  $S$ . We define the *degree* of the divisor  $D$  to be  $\deg D = \sum m_i$ . If  $f$  is a meromorphic function on  $S$ , then  $f$  can be regarded as a holomorphic map from  $S$  to  $\mathbb{P}^1$ . The associated divisor  $(f)$  is just  $f^{-1}(0) - f^{-1}(\infty)$  with multiplicity considered. Hence the degree of the divisor  $(f)$  is the number of zeros of  $f$  minus the number of poles of  $f$  counted with multiplicity. Each of these numbers equals the degree of the map  $f$ . Hence the degree of  $(f)$  is zero. This implies that the degree is invariant under linearly equivalence. Therefore we can define the degree of a line bundle  $L$ , denoted by  $\deg L$ , to be the degree of a divisor  $D$  such that  $[D] \cong L$ .

Let  $f: S \rightarrow S'$  be a non-constant holomorphic map between two Riemann surfaces  $S$  and  $S'$ . For any point  $q \in S'$ , there exists a local

coordinate  $z$  at  $q$  and  $w$  at  $p = f(q) \in S'$  such that  $f$  is locally of the form  $w = z^\mu$ .  $\mu(q) = \mu$  is called the *ramification index* of  $q$ . If  $\mu(q) > 1$ ,  $p = f(q)$  is called the branch point of  $f$ .  $R = \sum_{q \in S} (\mu(q) - 1)q$  is called the ramification divisor. Away from the ramification divisor,  $f$  is an unramified covering (topological covering).

Let  $D = \sum m_i q_i$  be a divisor on  $S$ .  $f: S \rightarrow S'$  be a non-constant holomorphic map. Let  $p \in S'$  be a point,  $f^{-1}(p) = \{q_1, \dots, q_s\}$ . Define  $f^*(q) = \sum_{j=1}^s \mu(q_j)q_j$ . We extend the definition  $f^*$  to divisors by linearity.

Now we get a map

$$f^*: \text{Div}(S') \rightarrow \text{Div}(S), \quad D \rightarrow f^*D.$$

It is easy to show that if  $D \sim D'$ ,  $f^*D \sim f^*D'$  and  $[f^*D] = f^*[D]$ .

Now we want to relate the genus of  $S$  to that of  $S'$ .

Take a meromorphic section  $\sigma$  of  $K_{S'}$ .  $f^*\sigma$  is a meromorphic section of  $K_S$ . Around  $p = f(q) \in S'$ ,  $f$  is locally of the form  $w = z^\mu$ . Write  $\sigma$  locally around  $p$  as  $\frac{h(w)}{g(w)}dw$  where  $h(w)$  and  $g(w)$  are holomorphic functions around  $p$ . Write  $\left(\frac{h(z)}{g(z)}\right)_p = \ell p$  as divisors. Around  $p$ ,  $f^*\sigma$  is of the form

$$\frac{h(z^\mu)}{g(z^\mu)}dz^\mu = \mu z^{\mu-1} \frac{h(z^\mu)}{g(z^\mu)}dz.$$

$$(f^*\sigma)_q = (\mu - 1)q + \mu \ell q = (\mu - 1)q + f^*(\ell q) = (\mu - 1)q + f^*(\sigma)_q.$$

Therefore, summing over all  $q \in S'$ , we get

$$(f^*\sigma) = R + f^*(\sigma).$$

In terms of line bundles, we get

$$K_S \cong [R] \otimes f^*K_{S'}. \quad (4.1)$$

If we compute the degree, using that  $\deg K_S = 2g(S) - 2$  and  $\deg K_{S'} = 2g(S') - 2$  (we won't prove it here), we get

$$2g(S) - 2 = \deg R + \deg f^*K_{S'} = \deg R + n(2g(S') - 2) \quad (4.2)$$

where  $n$  is the degree of the map  $f$ . So

$$\chi(S) = n\chi(S') - \sum_{q \in S} (\mu(q) - 1). \quad (4.3)$$

These formulae are called the Riemann-Hurwitz formulae.

Here are some applications of the Riemann-Hurwitz formulae.

**Corollary 4.1.** *If  $f: S \rightarrow S'$  is not a constant map, then  $g(S) \geq g(S')$ .*

**Theorem 4.2** (Riemann-Roch). *Let  $S$  be a Riemann surface of genus  $g$ ,  $D$  be a divisor on  $S$ . Then we have*

$$h^0(S; \mathcal{O}_S(D)) - h^1(S; \mathcal{O}_S(D)) = \deg D + 1 - g.$$

By Serre duality, the Riemann-Roch formula can also be written as

$$h^0(S; \mathcal{O}_S(D)) - h^0(S; \mathcal{O}_S(K_S - D)) = \deg D + 1 - g. \quad (4.4)$$

**Lemma 4.3.** *Let  $D$  be a divisor on  $S$ , if  $\deg D < 0$ , then  $h^0(S; \mathcal{O}_S(D)) = 0$ .*

Let's look at some applications of Riemann-Roch formula.

**Example 4.4.** Let  $S$  be a Riemann surface with genus  $g = 0$ . Let  $p$  be a point on  $S$ . By the Riemann-Roch formula, we have

$$h^0(S; \mathcal{O}_S(p)) - h^0(S; \mathcal{O}_S(-p + K_S)) = 1 + 1 = 2.$$

Since  $\deg(K_S - p) = -3 < 0$ ,  $h^0(S; \mathcal{O}_S(-p + K_S)) = 0$ . Hence  $h^0(S; \mathcal{O}_S(p)) = 2$ . Take two linearly independent sections  $s_0, s_1 \in H^0(S; \mathcal{O}_S(p))$ .  $H^0(S; \mathcal{O}_S(p))$  is clearly base-point-free. Then  $s_0/s_1$  defines a holomorphic map to  $\mathbb{P}^1$  with degree equal to one. Hence it is an isomorphism. Therefore every genus zero Riemann surface is isomorphic to  $\mathbb{P}^1$ .

**Exercise 4.5.** Let  $S$  be a Riemann surface,  $p_1, \dots, p_r \in S$  be points on  $S$ . Then there is a meromorphic function on  $S$  having poles (of some order  $\geq 1$ ) at each of the  $p_i$  and holomorphic elsewhere.

**Exercise 4.6.** Let  $S$  be a genus two Riemann surface. The canonical linear system  $\mathbb{P}(H^0(S; \mathcal{O}_S(K_S)))$  determines a morphism  $f: S \rightarrow \mathbb{P}^1$  of degree 2. Show that it is ramified at exactly six points with ramification index 2 at each point.  $f$  is uniquely determined up to an automorphism of  $\mathbb{P}^1$ . So  $S$  determines an (unordered) set of 6 points of  $\mathbb{P}^1$  up to automorphisms of  $\mathbb{P}^1$ .

**Theorem 4.7.** *Let  $D$  be a divisor on a Riemann surface  $S$  of genus  $g$ .*

- (i) *If  $\deg D \geq 2g$ , then the linear system  $|D|$  has no base points.*
- (ii) *If  $\deg D \geq 2g + 1$ , then  $\varphi_{|D|}$  is an embedding.*

*Proof.* For (i), let  $p$  be a point on  $S$ , consider the exact sequence

$$0 \rightarrow \mathcal{O}_S(-p) \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_p \rightarrow 0.$$

Tensor the exact sequence by  $\mathcal{O}_X(D)$ , we get

$$0 \rightarrow \mathcal{O}_S(D - p) \rightarrow \mathcal{O}_S(D) \rightarrow \mathcal{O}_S(D)|_p \rightarrow 0. \quad (4.5)$$

Take the cohomologies of the sheaves in the exact sequence (5.5), we get an exact sequence

$$H^0(\mathcal{O}_S(D)) \rightarrow H^0(\mathcal{O}_S(D)|_p) \rightarrow H^1(\mathcal{O}_S(D-p)).$$

Since  $\deg(p - D + K_S) \leq 1 - 2g + 2g - 2 = -1$ ,  $h^1(\mathcal{O}_S(D-p)) = h^0(\mathcal{O}_S(K_S - D + p)) = 0$ . Hence the map  $H^0(\mathcal{O}_S(D)) \rightarrow H^0(\mathcal{O}_S(D)|_p)$  is a surjection. Therefore there exists a section  $s \in H^0(\mathcal{O}_S(D))$  such that  $s(p) \neq 0$ . Thus the linear system  $|D|$  is base point free.

For (ii), take two distinct points  $p, q$  on  $S$ . Consider the exact sequence

$$0 \rightarrow \mathcal{O}_S(-p-q) \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_p \oplus \mathcal{O}_q \rightarrow 0.$$

Tensor it by  $\mathcal{O}_S(D)$ , we get another exact sequence

$$0 \rightarrow \mathcal{O}_S(D-p-q) \rightarrow \mathcal{O}_S(D) \rightarrow \mathcal{O}_S(D)|_p \oplus \mathcal{O}_S(D)|_q \rightarrow 0. \quad (4.6)$$

Take the cohomologies of the sheaves in the exact sequence (4.6), we get an exact sequence

$$H^0(\mathcal{O}_S(D)) \rightarrow H^0(\mathcal{O}_S(D)|_p) \oplus H^0(\mathcal{O}_S(D)|_q) \rightarrow H^1(\mathcal{O}_S(D-p-q)).$$

Since  $\deg(p+q-D+K_S) \leq 2-2g-1+2g-2 = -1$ ,  $h^1(\mathcal{O}_S(D-p-q)) = h^0(\mathcal{O}_S(K_S-D+q+p)) = 0$ . Hence the map  $H^0(\mathcal{O}_S(D)) \rightarrow H^0(\mathcal{O}_S(D)|_p) \oplus H^0(\mathcal{O}_S(D)|_q)$  is a surjection. Therefore there exists a section  $s \in H^0(\mathcal{O}_S(D))$  such that  $s(p) = 0$  but  $s(q) \neq 0$ . Thus  $\varphi_{|D|}(p) \neq \varphi_{|D|}(q)$ , i.e., the map  $\varphi_{|D|}$  is one-to-one.

Let  $p \in S$ , consider the exact sequence

$$0 \rightarrow \mathcal{O}_S(-2p) \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_{2p} \rightarrow 0.$$

Tensor it with  $\mathcal{O}_S(D)$  and take cohomologies, we get

$$H^0(\mathcal{O}_S(D)) \rightarrow H^0(\mathcal{O}_S(D)|_{2p}) \rightarrow H^1(\mathcal{O}_S(D-2p)).$$

By the same argument as above, the map  $H^0(\mathcal{O}_S(D)) \rightarrow H^0(\mathcal{O}_S(D)|_{2p})$  is surjective. Hence there exists a section  $s_0 \in H^0(\mathcal{O}_S(D))$  such that  $s_0(p) = 0$  and  $p$  is a simple zero for  $s_0$ . Let  $s_1, \dots, s_n$  be sections of  $H^0(\mathcal{O}_S(D))$  such that  $\{s_0, s_1, \dots, s_n\}$  is a basis of  $H^0(\mathcal{O}_S(D))$ . Without loss of generality, assume  $s_n(p) \neq 0$ . So

$$\varphi_{|D|}(x) = [s_0(x), s_1(x), \dots, s_n(x)] \in \mathbb{P}^n, \quad \text{and} \quad \varphi_{|D|}(p) \in U_n.$$

So near  $p$ , we have

$$\varphi_{|D|}: \varphi_{|D|}^{-1}(U_n) \rightarrow U_n, \quad x \rightarrow \left( \frac{s_0(x)}{s_n(x)}, \dots, \frac{s_{n-1}(x)}{s_n(x)} \right).$$

Since  $s_0(x)$  vanishes at  $p$  only once, if  $z$  is a coordinate near  $p$ , then  $s_0(x)/s_n(x) = h(z)z$  where  $h(p) \neq 0$ . Therefore the differential of the map  $\varphi_{|D|}$  has rank equal to one at  $p$ . So it is an embedding. Note

that another choice of the basis of  $H^0(\mathcal{O}_S(D))$  gives another map to  $\mathbb{P}^n$  which is related to the original one by an automorphism of  $\mathbb{P}^n$ .  $\square$

**Example 4.8.** Let  $S$  be an elliptic curve. Let  $D$  be a divisor on  $S$  of degree three. The canonical divisor  $K_S$  is trivial. Hence from Riemann-Roch, we get

$$h^0(S; \mathcal{O}_S(D)) - h^0(S; \mathcal{O}_S(-D)) = \deg D + 1 - g = 3.$$

Since  $\deg(-D) = -3 < 0$ ,  $h^0(S; \mathcal{O}_S(-D)) = 0$ . Hence the map  $\varphi_{|D|}$  embeds  $S$  into  $\mathbb{P}^2$ . So every elliptic curve is a plane curve.